JTD/

DOCKET NO.: E0295.70190US00

Mackengee

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Amin's No.

Stephen Todd, et al.

Serial No:

10/731,790

Confirmation No:

4910

Filed:

December 9, 2003

For:

METHOD AND APPARATUS FOR DATA RETENTION IN A STORAGE

SYSTEM

Examiner:

Unassigned

Art Unit:

2121

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 24th day of May, 2005.

Eileen MacKenzie

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Information Disclosure Statement
- PTO Form 1449 with cited references
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephen Todd, et al., Applicants

By:

Richard F. Giunta, Reg. No.: 36,149 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: E0295.70190US00

Date: May 24, 2005



DOCKET NO.: E0295.70190US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

Stephen Todd, et al.

10/731,790

Confirmation No.:

4910

Filed:

December 9, 2003

For:

METHOD AND APPARATUS FOR DATA RETENTION

IN A STORAGE SYSTEM

Examiner:

Unassigned

Art Unit:

2121

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 24th day of May, 2005.

Elleen Mackenzie

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: Compliance with 37 CFR §1.704(d)

Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this

898969.1

Serial No.: 10/731,790 -2- Art Unit: 2121

Conf. No.: 4910

communication was not received by the office of the undersigned more than 30 days prior to filing of this Information Disclosure Statement.

PART III: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART V: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

Applicant wishes to point out that the translation of Japanese application 10-24351 cited on the attached PTO-1449 Form was provided by the Great Britain Patent Office in connection with the Great Britain Search Report (also cited on the PTO-1449 Form).

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Serial No.: 10/731,790 - 3 - Art Unit: 2121

Conf. No.: 4910

Docket No.: E0295.70190US00

Date: May 24, 2005

xNDDx

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Stephen Todd, et al., Applicants

By:

Richard F. Giunta, Reg. No. 36,149 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

		1	C. J. B.	\			
FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSIONER INFORMATIO			WW Se som S	APPLICATION NO.:	10/731,790	ATTY. DOCKET NO.: E0295.70190US00	
			FILING DATE: 2003	December 9,	CONFIRMATION NO.: 4910		
STATEMENT BY APPLICANT				APPLICANT:	Stephen Todd, et al.		
			GROUP ART UNIT:	2121	EXAMINER: Un	Unassigned	
Sheet	1	of	1	OROGI ZERI ORIT:	2121	DM WINVER.	Ondssigned

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Docu	ment	Name of Patentee or Applicant of Cited	Date of Publication or of issue	
		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
		5,689,699		Howell, et al.	11/18/97	

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)
		EP	0 322 130	A3	International Business Machines	06/28/89	Y
		JP	10-124351		NEC Corp.	05/15/98	Y

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		Search Report from Great Britain Patent Application No. GB 0426364.6	
		Translation of Japanese Application No 10-124351 provided with Great Britain Search Report	

EXAMINER:	DATE CONSIDERED:

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.